

68.2771

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JACOBSON  
Serial No.: 09/175,589  
Filed: OCTOBER 20, 1998  
Due Date: JANUARY 20, 1999  
Title: ELECTRONIC RECORD MANAGEMENT SYSTEM

Examiner: UNKNOWN  
Group Art Unit: 2771  
Docket: 12369.5US01



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 18, 1998.

By: *Robert J. Glance*  
RJG/PSTcbb

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Other: Information Disclosure Statement, Form 1449, and copies of the cited references
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT, GOULD, SMITH, EDELL,  
WELTER & SCHMIDT  
3100 Norwest Center, Minneapolis, MN 55402  
(612) 332-5300

By: *Robert J. Glance*

Name: Robert J. Glance  
Reg. No.: 40,620  
RJG:PSTcbb

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DEC 30 1998

Group 2700

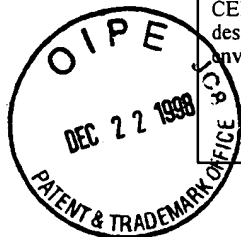
S/N 09/175,589

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.:	09/175,589	Group Art Unit:	2771
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#2  
1.6.98



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By: \_\_\_\_\_

*Charles Brundy*  
CHANDIS BRUNDY

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

RECEIVED

DEC 30 1998

Group 2700

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

Applicant also wishes to bring to the Examiner's attention co-pending application Serial No. 09/104,946, filed June 25, 1998, titled "NETWORK POLICY MANAGEMENT AND EFFECTIVENESS SYSTEM", by inventor Andrea M. Jacobson (Attorney Docket No. 12369.1US01).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or

otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

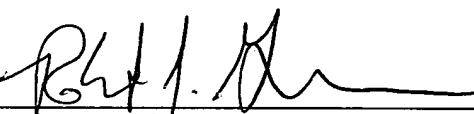
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT, GOULD, SMITH, EDELL,  
WELTER & SCHMIDT, P.A.  
3100 Norwest Center  
90 South Seventh Street  
Minneapolis, MN 55402  
612/332-5300

Date:

12/18/98

  
Robert J. Glance  
Reg. No. 40,620